

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
Local Assistance Lump Sum Allocation

Prepared By:
Terry Abbott
Acting Division Chief,
Local Assistance Division
(916) 653-1776

CTC Meeting: October 3-4, 2001

Agenda Item: 3.6

Original Signed By _____
MARK LEJA
Acting Chief Financial Officer
October 1, 2001

QUARTERLY LUMP SUM ALLOCATION STATUS REPORT
FOR 2000-2001 FISCAL YEAR

Background

The Local Assistance Program administers the local assistance subvention budget under authority of the California Transportation Commission (Commission). The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department the authority to adjust allocations for local assistance and report to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

Local Assistance Lump sum Allocation Status for Fiscal Year (FY) 2000-2001

In August 2000, the Commission passed Resolution FM-00-05, the allocation of funds for local assistance in 2000-2001 fiscal year. The allocation included approximately \$102 million in state funds and \$830 million in federal funds for a total of approximately \$932 million.

Delivery for FY 00/01 has continued to improve. As of June 30, 2001, the Local Assistance Program has processed \$959 million in FY 00/01 or 103% of the allocation. These expenditures include approximately \$329 million in Federal Transit Authority (FTA) transfers. (Note: FTA transfers use Obligation Authority (OA) but not Allocation Authority.) There are 1,211 projects associated with the \$959 million. Of these projects, Surface Transportation Program (STP) has 361 projects or 30% of all the projects. The Congestion Mitigation and Air Quality Program (CMAQ) has 195 projects or 14% and Highway Bridge Rehabilitation and Replacement (HBRR) has 161 projects which represents 13% of all projects. The remaining 494 projects are a summary of all other funds or 41% of the total projects. The Surface Transportation Program (STP) has expended 130% of its suballocation. Transfers from other categories like CMAQ will be used to increase the STP suballocation.

There are two categories that did not encumber funds. These categories involve Railroad Grade Separations, and Bridge Inspections and Scour Evaluations and represent less than 1% of the total allocation. A review of prior year allocations show RR separation 100% expended for FY 98/99 and 40% expended for FY 99/00. It is not unusual for it to take several years for contract development and approval when dealing with the railroads. However, a more detailed review of these programs will be undertaken to identify delays in these types of projects.

The total percent expended against this fiscal year's Lump Sum Allocation, including FTA transfers, is 103%. Total FY 99/00 expenditures are \$956 million including \$473 million in FTA transfers. The total percent expended is 90%. As can be seen, FY 00/01 continued to improve upon the prior year's expenditure rate.

Attachment

LOCAL ASSISTANCE FUNDS
FY 2000-01
(As of June 30, 2001)

Attachement 1
Agenda Item: 3.6

(Dollars in 1,000)

Fund Description	CTC Allocation			Total Expenditures			Allocation Balance			Percent of Allocation Expended	Number of Projects
	State	Federal	Total	State	Federal	Total	State	Federal	Total	Total	Total
Surface Transportation Pgrm (STP)	0	250,000	250,000	0	325,718	325,718	0	(75,718)	(75,718)	130.3%	361
Congestion Mitigation & Air Qual Pgrm (CMAQ)	0	260,000	260,000	0	64,519	64,519	0	195,481	195,481	24.8%	195
Bridge Seismic Retrofit State Match	20,000	75,000	95,000	11,989	48,375	60,364	8,011	26,625	34,636	63.5%	106
Highway Bridge Rehabilitation & Replacement (HBRR)	0	70,000	70,000	0	42,115	42,115	0	27,885	27,885	60.2%	161
Bridge Inspection and Bridge Scour	900	3,300	4,200	0	0	0	900	3,300	4,200	0.0%	
RR Grade Crossing Protection	0	12,000	12,000	0	11,262	11,262	0	738	738	93.9%	28
RR Grade Crossing Maintenance	4,250	0	4,250	4,250	0	4,250	0	0	0	100.0%	1
Railroad Grade Separations	15,000	0	15,000	0	0	0	15,000	0	15,000	0.0%	1
Hazard Elimination & Safety (HES)	0	12,000	12,000	0	6,996	6,996	0	5,004	5,004	58.3%	43
Demonstration Projects	0	112,000	112,000	0	45,584	45,584	0	66,416	66,416	40.7%	20
State Match for Transportation Enhancement Activities	4,000	0	4,000	150	0	150	3,850	0	3,850	3.8%	2
Transportation Enhancement Activities Exchange	3,000	0	3,000	1,744	0	1,744	1,256	0	1,256	58.1%	10
Transportation Enhancement Activities, Regional Share	8,919	35,000	43,919	0	19,227	19,227	8,919	15,773	24,692	43.8%	79
State Match and Exchange	44,000	0	44,000	40,490	0	40,490	3,510	0	3,510	92.0%	76
Miscellaneous	2,100	1,100	3,200	2,291	5,036	7,327	(191)	(3,936)	(4,127)	229.0%	20
Total Local Assistance Subvented Funds	102,169	830,400	932,569	60,914	568,832	629,746	41,255	261,568	302,823	67.5%	1,103
FTA Transfers	0	0	0	0	329,405	329,405	0	(329,405)	(329,405)		108
Total Local Assistance Including FTA Transfers	102,169	830,400	932,569	60,914	898,237	959,151	41,255	(67,837)	(26,582)	102.9%	1,211

Assumptions:

? The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.

? CMAQ, RSTP and TEA expenditures do not include FTA transfers of \$122,550,189 for RSTP, \$206,492,676 for CMAQ and \$362,265 for TEA. These numbers are for July- June.

? Misc. expenditures include projects not included elsewhere.